Addendum 1: Davis Bacon Provisions

For the A/V System Design, Procurement, and Installation project posted by the 92nd Street Y for its new location at 200 Hudson Street, HUD requires that prevailing wages are paid to all laborers, and that weekly certified payrolls are completed for the project. Please see Form HUD-4010 (attached). The latest Davis Bacon prevailing wage decisions are as follows:

GENERAL DECISION: NY20080003 03/21/2008 NY3

Date: March 21, 2008

General Decision Number: NY20080003 03/21/2008

Superseded General Decision Number: NY20070003

State: New York

Construction Types: Building, Heavy, Highway and Residential

Counties: Bronx, Kings, New York, Queens and Richmond

Counties in New York.

BUILDING & RESIDENTIAL CONSTRUCTION PROJECTS (includes single family homes and apartments up to and including 4 stories), HEAVY AND HIGHWAY CONSTRUCTION PROJECTS

Number	Publication Date
	02/08/2008
	02/15/2008
	02/22/2008
	02/29/2008
	03/14/2008
	03/21/2008
	Number

ASBE0012-001 12/31/2007

	Rates	Fringes	
Asbestos Workers/Insulator includes application of all insulating materials, protective coverings, coatings and finishing to all types of mechanical systems		26.97 8.50	
BOIL0005-001 01/01/2007			_
	Rates	Fringes	

BOILERMAKER.....\$ 44.98 28.95+a

FOOTNOTE:

a. PAID HOLIDAYS: New Year's Day Day, Independence Day, Labor Day after Thanksgiving, Christmas Eve	and Good	Friday, Friday
BRNY0001-001 07/01/2007		
	Rates	Fringes
BRICKLAYER\$ MASON - STONE\$		19.71 21.13
BRNY0001-002 07/01/2007		
	Rates	Fringes
Pointer, cleaner and caulker\$	37.39	19.29
BRNY0003-001 07/01/2006		
	Rates	Fringes
TERRAZZO FINISHER\$ TERRAZZO WORKER/SETTER\$		21.75 21.75
BRNY0004-001 07/01/2007		
	Rates	Fringes
MARBLE SETTER\$	47.83	22.20
BRNY0020-001 07/01/2007		
	Rates	Fringes
MARBLE FINISHER\$	39.65	22.03
BRNY0024-001 01/01/2007		
	Rates	Fringes
BRICKLAYER MARBLE POLISHERS\$	35.66	17.40
BRNY0052-001 06/01/2007		
	Rates	Fringes
Tile Layer\$	42.94	22.33
BRNY0088-001 06/01/2007	_ _	-
	Rates	Fringes
TILE FINISHER\$	34.95	18.90

CARP0001-009 07/01/2005

	Rates	Fringes	
Carpenters: Carpenters & Soft floor			
layers\$	40.25	30.36	
CARP0740-001 07/01/2005			
	Rates	Fringes	
MILLWRIGHT\$	38.13	35.40	
CARP1456-004 07/01/2005			
	Rates	Fringes	
Dock Builder & Piledrivermen DOCKBUILDERS\$	40.27	29.86	
CARP1456-005 07/01/2005			
	Rates	Fringes	
Diver Tender\$ Diver\$		29.86 29.86	
CARP1536-001 07/01/2003			
	Rates	Fringes	
Carpenters: TIMBERMEN\$	34.47	26.05	
ELEC0003-001 05/10/2007			
	Rates	Fringes	
ELECTRICIAN Electricians\$ Jobbing, and maintenance	46.00	34.81	
and repair work\$	25.30	12.87+a	
PAID HOLIDAYS:			
a. New Years Day, Martin Luther King, Jr.'s Birthday, Washington's Birthday, Memorial Day, Independence Day, Labor Day, Columbus Day, Election Day, Thanksgiving Day, the day after Thanksgiving Day, and Christmas Day			
ELEC1049-001 04/02/2006		_	
QUEENS COUNTY			
	Rates	Fringes	

Line Construction (Substation

and Switching structures pipe type cable installation and maintenance jobs or projects; Railroad electrical distribution/transmission systems maintenance (when work is not performed by railroad employees) Overhead and Underground transmission/distribution line work. Fiber optic, telephone cable and equipment) Groundman.....\$ 22.86 13.93 Heavy Equipment Operator....\$ 30.48 13.93 Lineman and Cable Splicer...\$ 38.10 13.93 Tree Trimmer.....\$ 23.06 9.84 ______

^{*} ELEV0001-002 03/17/2008

	Rates	Fringes
ELEVATOR MECHANIC		
Elevator Constructor\$	48.19	21.085+a+b
Modernization and Repair\$	38.46	20.935+a+b

FOOTNOTE:

- a. PAID HOLIDAYS: New Year's Day, Good Friday, President's Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Veteran's Day, Thanksgiving Day, Friday after Thanksgiving, and Christmas Day.
- b. PAID VACATION: An employee who has worked less than 5 years shall recieve vacation pay credit on the basis of 4% of his hourly rate for all hours worked; an employee who has worked 5 to 15 years shall receive vacation pay credit on the basis of 6% of his hourly rate for all hours worked; an employee who has worked 15 or more years shall receive vacation pay credit on the basis of 8% of his hourly rate for all hours worked.

ENGI0014-001 07/01/2007

	Rates	Fringes
Pavement equipment operator Asphalt Plants\$ Asphalt roller\$	48.16	24.80+a 24.80 24.80+a
Asphalt spreader\$ Power Equipment Operator (HEAVY & HIGHWAY)	49.52	24.80+a
GROUP 1	52.94 54.68 53.35 52.25	24.80+a 24.80+a 24.80+a 24.80+a 24.80+a 24.80+a

GROUP 7\$	51.04	24.80+a
GROUP 8\$		24.80+a
GROUP 9\$		24.80+a
GROUP10\$		24.80+a
GROUP11\$		24.80+a
		24.80+a
GROUP12\$		
GROUP13\$		24.80+a
GROUP14\$		24.80+a
GROUP15\$	30.38	24.80+a
Steel erector		
Compressors, Welding		
Machines\$	33.46	24.80+a
Cranes, Hydraulic Cranes,		
2 drum derricks,		
Forklifts, Boom Trucks\$	57.10	24.80+a
Three drum derricks\$		24.80+a
Utility Laborer	37.17	21.00.4
Horizontal boring rig\$	16 97	24.80+a
5 5 .		
Off shift compressors\$		24.80+a
Utility Compressors\$	30.18	24.60

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Tower crane

- GROUP 2: Backhoes, power shovel, Hydraulic clam shells, moles and machines of a similar type
- GROUP 3: Mine hoists and crane, etc. used as mine hoists
 - GROUP 4: Gradalls, keystones, cranes (with digging buckets), bridge cranes, trenching machines, vermeer cutter and machines of a similar nature
- GROUP 5: Piledrivers, derrick boats, tunnel shovels
- GROUP 6: All drills, and machines of a similar nature
 - GROUP 7: Back filling machines, cranes, mucking machines, dual drum pavers
 - GROUP 8: Mixers (concrete w/loading attachments), concrete pavers, cableways, land derricks, power house (low pressure units), concrete pumps
 - GROUP 9: Concrete plants, well drilling machines, stone crushers double drum hoist, power house (other than above)
- GROUP 10: Concrete mixers
- GROUP 11: Elevators
 - GROUP 12: Concrete breaking machine, Hoists (single drum), load masters, locomotive and dinkies over 10 tons
- GROUP 13: Vibratory console

GROUP 14: Compressors (portable 3 or more in battery), tugger machine (caissons), well point pumps, chum drill

GROUP 15: Boilers, (high pressure, compressors (portable, single, or 2 in battery, not over 100' apart), pumps (river cofferdam and welding machines (except where arc is operated by members of local 15) push button machines, all engines irrespective of power (power pac) used to drive auxilliary equipment, air, hydraulic etc.

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PREMIUMS ON CRANES (Crawler or Truck):

100' to 149' boom - add .50

150' to 249' boom - add 1.75

250' to 349' boom - add 1.00

350' to 450' boom - add 1.50

Premiums for Cranes on Steel Erection:

100' to 149' boom - add 1.75

150' to 249' boom - add 2.00

250' to 349' boom - add 2.25

350' to 450' boom - add 2.75

Tower crane - add 2.00
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FOOTNOTE:

a. Paid Holidays: New Year's Day; Lincoln's Birthday; Washington's Birthday; Memorial Day; Independence Day; Labor Day; Veterans Day; Columbus Day; Election Day; Thanksgiving Day; and Christmas Day; provided the employee works one day the payroll week in which the holiday occurs.

ENGI0014-002 07/01/2007

	Rates	Fringes
Power Equipment Operator BUILDING & RESIDENTIAL GROUP 1	56.79 51.71	24.80+a 24.80+a 24.80+a 24.80+a
GROUP 5\$		24.80+a

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Double drum

GROUP 2: Stone derrick, cranes, hydraulic cranes, boom trucks

GROUP 3: 4 pole Hoist, Single Drum Hoists

GROUP 4: Fork lift, house cars, plaster (platform machine), plaster bucket, concrete pump and all other equipment used for hoisting material

GROUP 5: Compressors, welding machines (cutting concrete work), paint spraying, sand blasting, pumps (with the

exclusion of concrete pumps), hour	se car (settlement basis
only), all engines irrespective of	f power (power pac) used
to drive auxiliary equipment, air	, hydraulic, etc., boilers

Premiums for Cranes: 100'-149' boom - add 1.75 150'-249' boom - add 2.00 250'-349' boom - add 2.25 350'-450' boom - add 2.75 Tower cranes add 2.00		
FOOTNOTE: a. PAID HOLIDAYS: New Year Memorial Day, Independence Da Columbus Day, Election Day, To Day, provided the employee we week in which the holiday occ	ay, Labor Day, Thanksgiving Da orks one day in	Veteran's Day, ay, and Christma
IRON0040-002 01/01/2008		
BRONX, NEW YORK, RICHMOND		
	Rates	Fringes
IRONWORKER, STRUCTURAL	\$ 38.65	49.26
IRON0046-003 07/01/2007		
	Rates	Fringes
IRONWORKER METALLIC LATHERS	\$ 43.80	30.37
IRON0197-001 01/01/2008		
	Rates	Fringes
IRONWORKER STONE DERRICKMAN	\$ 39.00	35.72
IRON0361-002 01/01/2008		
KINGS, QUEENS		
	Rates	Fringes
Ironworkers: (STRUCTURAL)	\$ 38.65	49.26
IRON0580-001 01/01/2008		
	Rates	Fringes
IRONWORKER, ORNAMENTAL	\$ 39.15	37.85

	Rates	Fringes
Laborers:		
BUILDING CONSTRUCTION		
CEMENT AND CONCRETE WORKERS\$	31.50	15.27
LABO0029-001 07/01/2001		
	Rates	Fringes
Laborers:		
Heavy		
Blasters (hydraulic trac drill)\$	32 08	16.70
Blasters\$		16.70
Hydraulic Trac Drill\$		16.70
Jackhammers, Chippers,		
Spaders, Concrete		
Breakers, All Other		
Pneumatic Tools, Walk Behind Self-Propelled		
Hydraulic Asphalt and		
Concrete Breaker\$	27.14	16.70
Powder Carriers\$	24.50	16.70
Wagon; Airtrac; Quarry Bar Drill Runners\$	27 02	16 70
Bar Drill Runners	21.83 	16.70
LABO0078-001 12/01/2006		
	Rates	Fringes
	Races	11111900
ASBESTOS WORKER/HEAT & FROST		
INSULATOR		
BUILDING CONSTRUCTION		
ASBESTOS (Removal, Abatement, Encapsulation		
or Decontamination of		
asbestos); LEAD; &		
HAZARDOUS WASTE LABORERS		
(Hazardous Waste,		
Hazardous Materials,		
Biochemical and Mold		
Remediation, HVAC, Duct		
Cleaning, Re-spray Fireproofing, etc)\$	27 00	9.81
	∠/.UU 	J.U1
LABO0079-001 07/01/2007		
	Rates	Fringes
Laborers Building Construction		
Demolition Laborers		
Tier A\$		18.91
Tier B\$ Mason Tenders\$		12.41 18.91
rabon renders	50.50	10.71

CLASSIFICATIONS

TIER A: Responsible for the removal of all interior petitions and structural petitions that can consist of sheet rock, block or masonry. Also, all structural slab openings for ducts, mechanical, shafts, elevators, slab openings and exterior walls where the building is not being completely demolitioned.

TIER B: Responsible for shoveling of debris into containers, pushing containers from the inside to the outside of the building.

LABO0147-001 07/01/2003

	Rates	Fringes
Laborers: LABORERS\$	28.86	30.51

FREE AIR TUNNEL WORKERS Tunnel Workers (including Maintenance Men, Inside Muck Lock Tenders, Pump Men, Electricians, Cement Finishers, Caulkers, Hydraulic Men, Shield Men, Monorail Operators, Motor Men, Conveyor Men, Powder Carriers, Pan Men, Riggers, Chuck Tenders, Track Men Painters, Nippers, Brakemen, Cable Men, Hose Men, Grout Men, Gravel Men, Form Workers, Concrete Workers, Tunnel Laborers, Mole Nipper (one (1) Mole Sipper per Working Shaft per Shift for up to and including Two (2) Moles)

LABO0731-001 07/01/2007

LABO0731-001 07/01/2007				
	Rates	Fringes		
Laborers:				
Building, Heavy and				
Residential Construction				
UNSKILLED	•	22.61		
UTILITY LABORER	\$ 34.74	22.61		
Heavy & Highway Construction				
LABORER/EXCAVATION				
{Asbestos, Lead,				
Hazardous Waste Removal				
(including soil)	\$ 34.89	22.61		
Paid Holidays: Labor Day and Thanksgiving Day				
LABO1010-001 07/01/2001				
	Rates	Fringes		
Laborers:				

		_
Laborers:		
HIGHWAY CONSTRUCTION		
Fence Installer & Repairer.\$	28.84	15.55+a
FORMSETTERS\$	32.04	15.55+a
LABORERS\$	28.94	15.55+a

Landscape Planting &		
Maintenance\$	28.84	15.55+a
Maintenance Safety Surface.\$	28.44	15.55+a
Slurry/Sealcoater/Play		
Equipment Installer\$	28.69	15.55+a
Small Equipment Operator		
(Not Operating		
Engineer)\$		15.55+a
Small Power Tools Operator.\$	28.44	15.55+a

FOOTNOTES:

a. PAID HOLIDAYS: Memorial Day, Fourth of July, Labor Day, Columbus Day, Election Day and Thanksgiving Day, provided the employee has worked one (1) day in the calendar week in which the said holiday occurs.

LABO1018-001 07/01/2001

	Rates	Fringes
Laborers:		
Asphalt Rakers\$	32.36	15.55+a
Asphalt Tampers\$	29.92	15.55+a
Landscape Planting &		
Maintenance Fence		
<pre>Installer/Maintenance\$</pre>	29.81	15.55+a
Line Striping Installers\$	29.56	15.55+a
Play Equipment/Safety		
Surface Installer\$	29.31	15.55+a
Screedman/Micropaver\$	32.73	15.55+a
Shoveler, General		
Laborers/ All other		
incidental work\$	29.81	15.55+a
Slurry/Sealcoater\$		15.55+a
Small Equipment Operator\$	29.56	15.55+a

FOOTNOTE:

a. Paid Holidays: Memorial Day, Independence Day, Labor Day, Columbus Day, Election Day, Veterans Day, and Thanksgiving Day

PAIN0009-001 05/01/2007

	Rates	Fringes
GLAZIER\$ Painters:	36.40	28.04
Painters, Drywall		
Finishers, Lead Abatement		
Worker\$ Spray, Scaffold and	34.50	19.84
Sandblasting\$	37.50	19.84

PAIN0806-001 10/01/2007

Rates Fringes

Painters:			
Structural steel and Bridge.\$	44.00	26.96 	
PAIN1974-001 07/04/2007			
	Rates	Fringes	
Painters:			
Drywall Tapers/Pointers\$	38.32	19.39	
PLAS0260-001 07/01/1999			
BRONX, NEW YORK AND RICHMOND COUNT	IES:		
	Rates	Fringes	
PLASTERER\$	27.91	15.55	
PLAS0260-002 07/01/1999			
KINGS AND QUEENS COUNTIES			
	Rates	Fringes	
PLASTERER\$	27.91	15.16	
PLAS0530-001 08/03/2005			
	Rates	Fringes	
PLASTERER DRYWALL PLASTERERS\$	37.03	18.30	
PLAS0780-001 07/01/2007			
	Rates	Fringes	
CEMENT MASON/CONCRETE FINISHER\$	44.40	26.10	
PLUM0001-001 01/01/2007			
	Rates	Fringes	
DLIMPED		J	
PLUMBER JOBBING AND ALTERATIONS			
Any repair and/or replacement of the			
present plumbing system			
that does not change the	20 07	7 42	
existing roughing\$ PLUMBERS:\$		7.43 29.65	
PLUM0638-001 07/05/2006			

Rates Fringes

DI	г.т	т.	M	D	┰	D
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SERVICE FITTERS\$	26.30	2.55
SPRINKLER FITTERS,		
STEAMFITTERS\$	43.82	32.72

Service Fitter work shall consist of all repair, service and maintenance work on domestic, commercial and industrial refrigeration, air conditioning and air cooling, stoker and oil burner apparatus and heating apparatus etc., including but not exclusively the charging, evacuation, leak testing and assembling for all machines for domestic, commercial and industrial refrigeration, air conditioning and heating apparatus. Also, work shall include adjusting, including capacity adjustments, checking and repairing or replacement of all controls and start up of all machines and repairing all defects that may develop on any system for domestic, commercial and industrial refrigeration and all air conditioning, air cooling, stoker and oil burner apparatus and heating apparatus regardless of size or type.

ROOF0008-003 04/01/2006

	Rates	Fringes
ROOFER, Including Built Up, Composition and Single Ply Roofs\$	33.08	22.47
SHEE0028-002 01/31/2008		
	Rates	Fringes
Sheet metal worker\$	43.69	34.69
TEAM0282-001 07/01/2005		
	Rates	Fringes
Truck drivers: TRUCK DRIVERS:		
Asphalt\$ Euclids & Turnapulls\$ High Rise\$	31.80	23.6025+a+b 23.6025+a+b 23.6525+a+b

FOOTNOTES:

PAID HOLIDAYS: New Year's Day, Presidents' Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Election Day, Veterans' Day (Armistice Day), Thanksgiving Day and Christmas Day. Employees working two (2) days in the calendar week in which a holiday falls are to be paid for such holiday, provided that they shape each remaining workday during such calendar week.

b. VACATION: For each 15 days worked within the contract year an employee will receive one day's vacation with pay with a maximum vacation of 3 weeks per year.

TEAM0813-001 12/01/1998

		Rates	Fringes
Truck dr	rivers:		
GR	ROUP 1	\$ 19.49	3.61+a
GR	ROUP 2	\$ 19.76	3.61+a
GR	OUP 3	\$ 19.90	3.61+a
GR	ROUP 4	\$ 20.23	3.61+a
GR	OUP 5	\$ 20.40	3.61+a
GR	ROUP 6	\$ 21.29	3.61+a
GR	ROUP 7	\$ 22.40	3.61+a
GR	ROUP 8	\$ 19.90	3.61+a

FOOTNOTE:

a. PAID HOLIDAYS: New Year's Day, Martin Luther King, Jr.'s Birthday, Presidents' Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Thanksgiving Day, Christmas Day, Employee's Birthday, Two (2) Personal Days, and any holiday or day of mourning proclaimed as such by the State or Federal Government.

TRUCK DRIVER CLASSIFICATIONS

- GROUP 1: Closed body trucks with self contained loading unit up to and including 22 yard capacity
- GROUP 2: Open trucks, rack body or trucks with no self contained mechanical loading device, up to 22 yard capacity. One-container tractor hoist
- GROUP 3: 10 wheel, open trucks, container loaders, dino-master, over-cab loaders, rack body trucks, or any trucks 22 yards to and including 25 yards capacity
- GROUP 4: Rubbish and garbage trucks, 26 yards to and including 31 yards
- GROUP 5: Single axle working non-compactor containers up to 15 yards capacity on rubbish and garbage removal
- GROUP 6: Roll-off trucks up to and including 42 yard capacity
 - GROUP 7: Roll-off truck with more than 42 yard capacity or any tractor trailer trucks
 - GROUP 8: One-container tractor hoist on construction and alteration debris removal

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within

the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

In the listing above, the "SU" designation means that rates listed under the identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

U.S. Department of Housing and Urban Development

Office of Labor Relations

Applicability

The Project or Program to which the construction work covered by this contract pertains is being assisted by the United States of America and the following Federal Labor Standards Provisions are included in this Contract pursuant to the provisions applicable to such Federal assistance.

A. 1. (i) Minimum Wages. All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under Section I(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of 29 CFR 5.5(a)(1)(iv); also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period.

Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under 29 CFR 5.5(a)(1)(ii) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible, place where it can be easily seen by the workers.

- (ii) (a) Any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate and fringe benefits therefor only when the following criteria have been met:
- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- **(b)** If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and HUD or its designee agree on the classification and wage rate (including the amount designated for fringe benefits where

appropriate), a report of the action taken shall be sent by HUD or its designee to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, D.C. 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB control number 1215-0140.)

- (c) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and HUD or its designee do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), HUD or its designee shall refer the questions, including the views of all interested parties and the recommendation of HUD or its designee, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140.)
- (d) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1)(ii)(b) or (c) of this paragraph, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140.)
- 2. Withholding. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee or helper, employed or working on the site of the work, all or part

of the wages required by the contract, HUD or its designee may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased. HUD or its designee may, after written notice to the contractor, disburse such amounts withheld for and on account of the contractor or subcontractor to the respective employees to whom they are due. The Comptroller General shall make such disbursements in the case of direct Davis-Bacon Act contracts.

- 3. (i) Payrolls and basic records. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in Section I(b)(2)(B) of the Davis-bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5 (a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section I(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs. (Approved by the Office of Management and Budget under OMB Control Numbers 1215-0140 and 1215-0017.)
- (ii) (a) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to HUD or its designee if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant sponsor, or owner, as the case may be, for transmission to HUD or its designee. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i). This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, DC 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB Control Number 1215-0149.)
- **(b)** Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) That the payroll for the payroll period contains the information required to be maintained under 29 CFR 5.5 (a)(3)(i) and that such information is correct and complete;
- (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll

- period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR Part 3;
- (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (c) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph A.3.(ii)(b).
- (d) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 231 of Title 31 of the United States Code.
- (iii) The contractor or subcontractor shall make the records required under subparagraph A.3.(i) available for inspection, copying, or transcription by authorized representatives of HUD or its designee or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, HUD or its designee may, after written notice to the contractor, sponsor, applicant or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and Trainees.

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under 29 CFR Part 5 shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.
- **5.** Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR Part 3 which are incorporated by reference in this contract
- **6. Subcontracts.** The contractor or subcontractor will insert in any subcontracts the clauses contained in subparagraphs 1 through 11 of this paragraph A and such other clauses as HUD or its designee may by appropriate instructions require, and a copy of the applicable prevailing wage decision, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this paragraph.

- **7. Contract termination; debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- **8.** Compliance with Davis-Bacon and Related Act Requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract
- **9. Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and HUD or its designee, the U.S. Department of Labor, or the employees or their representatives.
- 10. (i) Certification of Eligibility. By entering into this contract the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of Section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR Part 24.
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of Section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR Part 24.
- (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001. Additionally, U.S. Criminal Code, Section 1 01 0, Title 18, U.S.C., "Federal Housing Administration transactions", provides in part: "Whoever, for the purpose of . . . influencing in any way the action of such Administration..... makes, utters or publishes any statement knowing the same to be false..... shall be fined not more than \$5,000 or imprisoned not more than two years, or both."
- 11. Complaints, Proceedings, or Testimony by Employees. No laborer or mechanic to whom the wage, salary, or other labor standards provisions of this Contract are applicable shall be discharged or in any other manner discriminated against by the Contractor or any subcontractor because such employee has filed any complaint or instituted or caused to be instituted any proceeding or has testified or is about to testify in any proceeding under or relating to the labor standards applicable under this Contract to his employer.
- **B.** Contract Work Hours and Safety Standards Act. The provisions of this paragraph B are applicable only where the amount of the prime contract exceeds \$100,000. As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.
- (1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.
- (2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in subpara-

- graph (1) of this paragraph, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in subparagraph (1) of this paragraph, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by the clause set forth in sub paragraph (1) of this paragraph.
- (3) Withholding for unpaid wages and liquidated damages. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contract, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act which is held by the same prime contractor such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in subparagraph (2) of this paragraph.
- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (1) through (4) of this paragraph and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraphs (1) through (4) of this paragraph.
- **C.** Health and Safety. The provisions of this paragraph C are applicable only where the amount of the prime contract exceeds \$100,000.
- (1) No laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his health and safety as determined under construction safety and health standards promulgated by the Secretary of Labor by regulation.
- (2) The Contractor shall comply with all regulations issued by the Secretary of Labor pursuant to Title 29 Part 1926 and failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and Safety Standards Act, 40 USC 3701 et seq.
- (3) The Contractor shall include the provisions of this paragraph in every subcontract so that such provisions will be binding on each subcontractor. The Contractor shall take such action with respect to any subcontract as the Secretary of Housing and Urban Development or the Secretary of Labor shall direct as a means of enforcing such provisions.